

WELCOME to the Residential Substance Abuse Treatment (RSAT)
Training and Technical Assistance (TTA) National Resource Center

ETHICAL ISSUES IN OFFENDER TREATMENT: PROFESSIONAL BOUNDARIES

***Roberta C. Churchill M.A., LMHC
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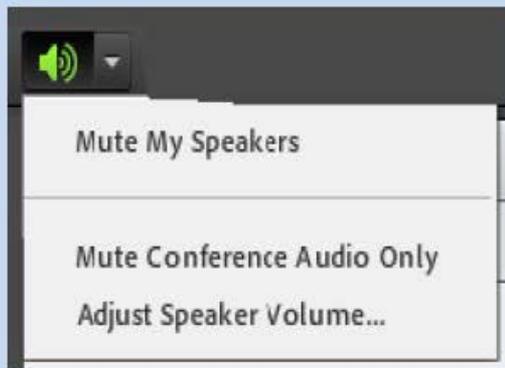

ACJS
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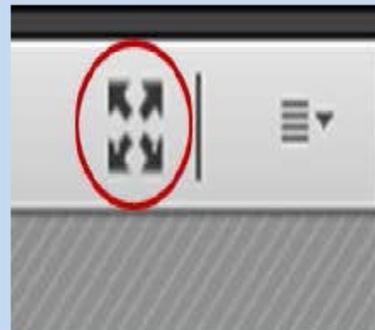
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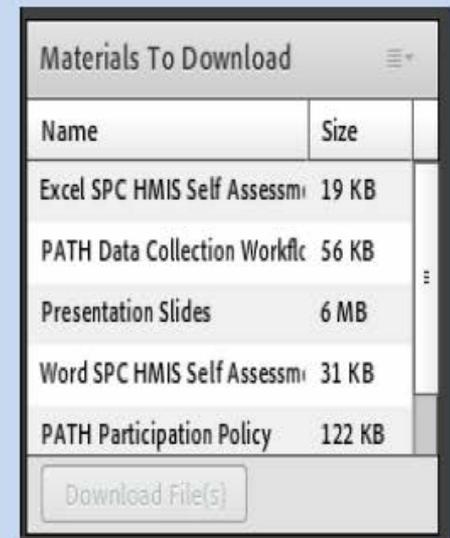
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You can download a PDF version of today's presentation and additional resource materials from the "Materials To Download" box.

A screenshot of a "Materials To Download" window. It features a table with two columns: "Name" and "Size". Below the table is a "Download File(s)" button.

Name	Size
Excel SPC HMIS Self Assessm	19 KB
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Presentation Slides	6 MB
Word SPC HMIS Self Assessm	31 KB
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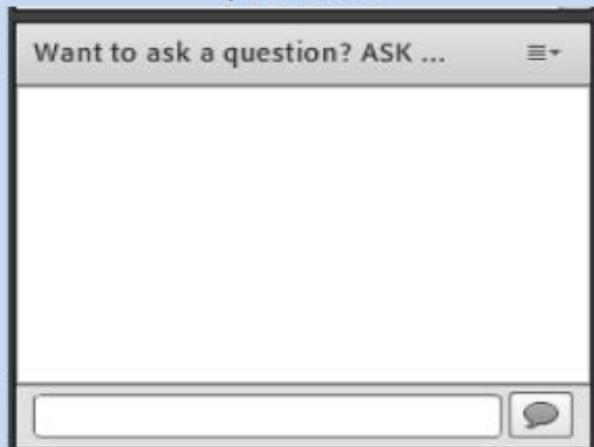
Housekeeping: Communication

Q&A

and Technical Issues

If you have questions for either the presenters or our Technical Support Staff, enter them in the Q&A box.

Our support staff will assist you with your technical issues, and our moderator will present as many questions as possible to the presenter.



Want to ask a question? ASK ...

A screenshot of a Q&A interface. It features a header bar with the text "Want to ask a question? ASK ..." and a menu icon. Below the header is a large, empty white text area for entering questions. At the bottom of the interface is a text input field and a speech bubble icon.

Chat with us!

If you have general comments, please post them in the participant chat box.



Chat (Everyone)

Everyone

A screenshot of a chat interface. The title bar reads "Chat (Everyone)". The main area is a large, empty white space for chat messages. At the bottom, there is a text input field and a speech bubble icon. A small "Everyone" label is visible in the bottom-left corner of the chat window.

Ethical Issues in Offender Treatment: Professional Boundaries

Moderator

Stephen Keller

Training/TA Coordinator, RSAT-TTA

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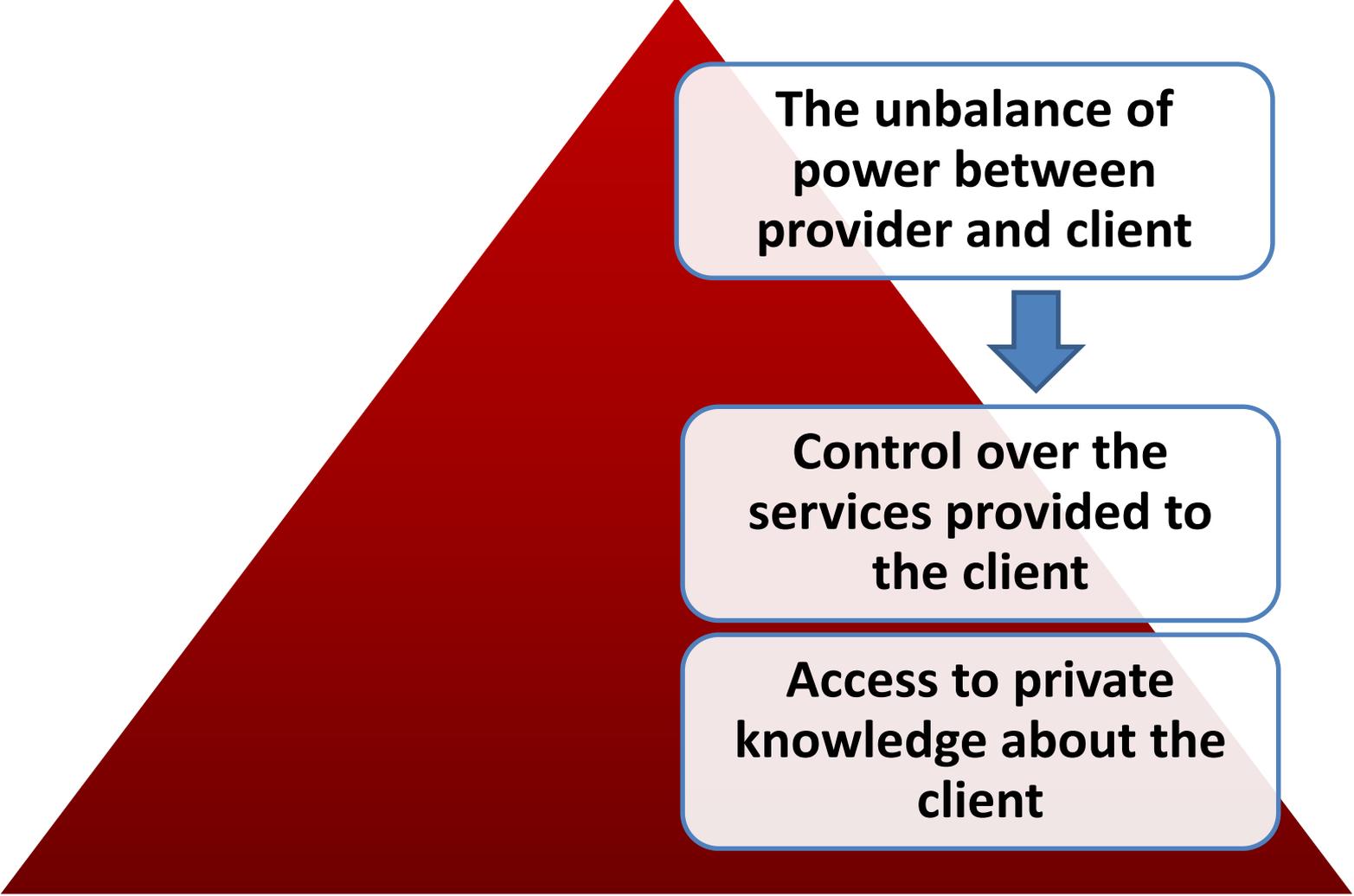
Course Objectives

Upon completion of this presentation, participants will be able to :

- Define the concept of “power differential”
- Identify three types of boundaries and their relevance when working with justice involved individuals
- List three warning signs that boundaries may be at risk of being crossed / compromised
- Develop a plan of action if boundaries are in danger of becoming unprofessional / boundaries have been crossed

THE PROVIDER-CLIENT RELATIONSHIP

The Power Differential



**The unbalance of
power between
provider and client**

**Control over the
services provided to
the client**

**Access to private
knowledge about the
client**

Examples of the Power Differential

- **Set the time and place:** Set / changes schedules, begin / end classes, decide where / how to run classes, when / how drug testing occurs
- **Set the stage:** Can arrange seating, change classroom, allow / deny participants to leave classroom, etc.
- **Right to ask questions:** Ask clients questions in the course of their work, but do not necessarily answer all their questions

Examples of the Power Differential

- **Maintain anonymity:** Know much more about justice involved clients than they know about staff
- **Power to label, name and diagnose:** Interpret, analyze, recommend and review progress of clients; this can affect eligibility for parole, classification and aftercare recommendations
- **Authority to determine the rules of the relationship:** Staff review program rules, classification guidelines, probation / parole conditions, and “set the tone” for interactions

*"Because **a relationship begins with a power differential**, I shall not exploit relationships with current or former clients for personal gain, including social or business relationships."*

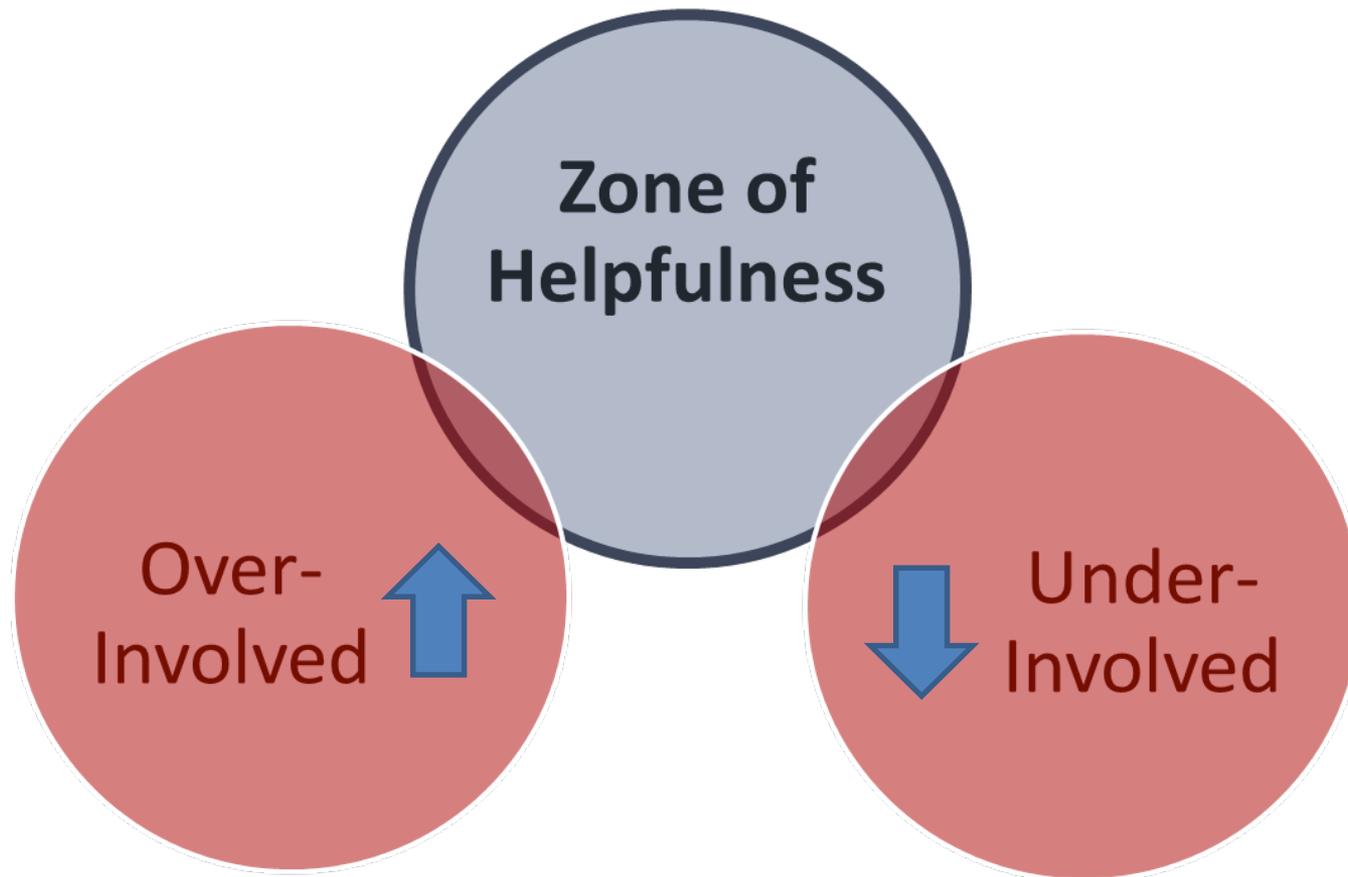
(National Association of Alcoholism and Drug Abuse Counselors, 2004)

BOUNDARIES

What are they?
Where are they?
When are they at risk?

Zone of Helpfulness

Staying within the “zone” helps you to stay “in bounds”.



Context is Key

*Context –
not content –
often determines
the appropriate
boundary.*



Poll

Do you believe you have ever crossed a professional boundary during your work with justice involved clients?

Lets Be Honest

Physical Boundaries

- Hugging, hand holding, any type of intentional touching
- Aggressive / violent intent behaviors
- Invading another's "space" or personal property



Physical Boundaries

- **An educator leans over a seated client touching his shoulder while looking over his written work**

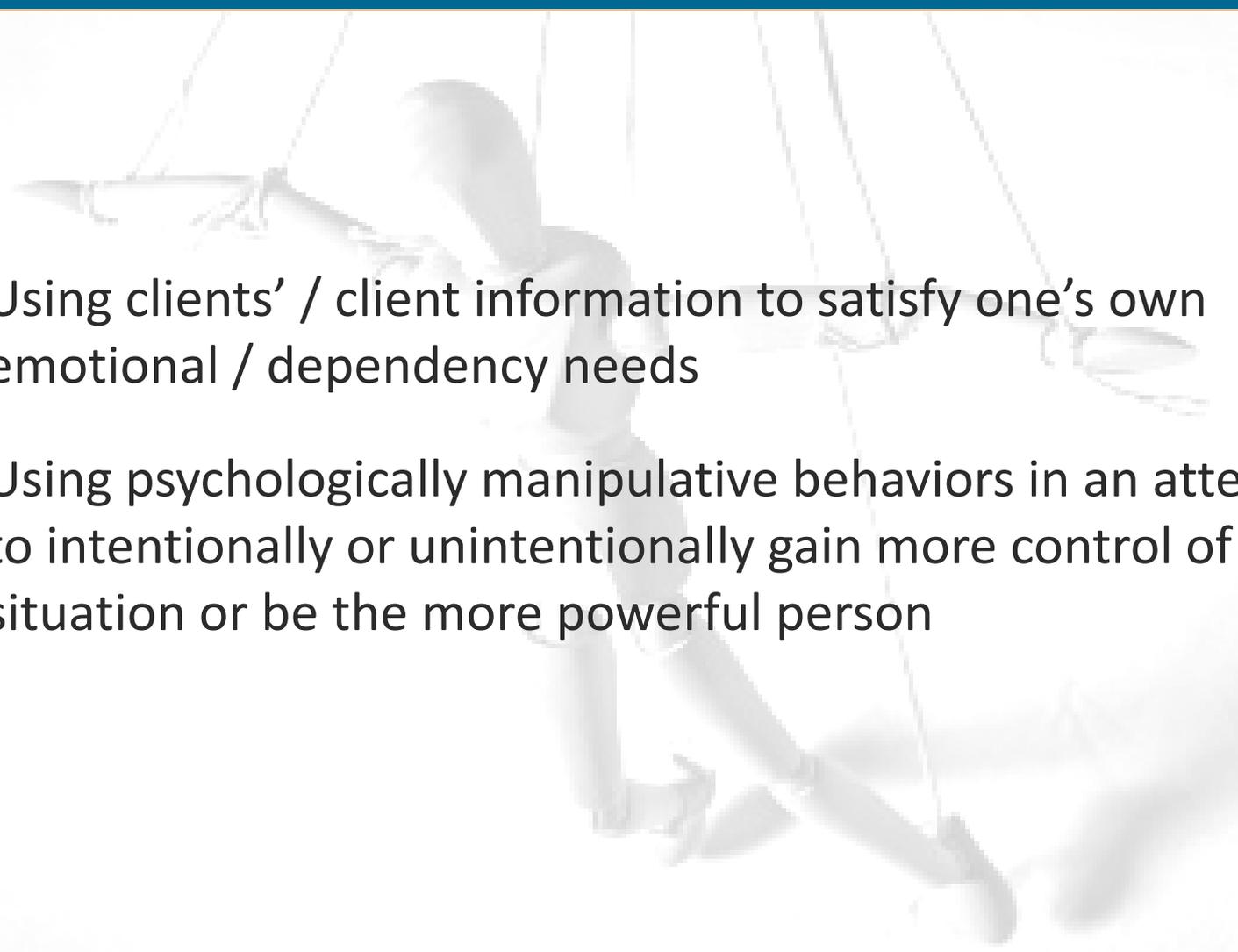
Physical Boundaries

- An educator leans over a seated client touching his shoulder while looking over his written work
- **An Officer touches a client's hand as she begins to cry when telling them about her children at home**

Physical Boundaries

- An educator leans over a seated client touching his shoulder while looking over his written work
- An Officer touches a client's hand as she begins to cry when telling them about her children at home
- **A counselor gives a hug to a client after they receive bad news from home**

Emotional / Psychological Boundaries

- 
- A person is shown swinging on a swing set, captured in a blurred, high-angle shot. The person is wearing a light-colored top and dark pants. The background is a bright, overcast sky. The image is semi-transparent, allowing the text to be overlaid on it.
- Using clients' / client information to satisfy one's own emotional / dependency needs
 - Using psychologically manipulative behaviors in an attempt to intentionally or unintentionally gain more control of the situation or be the more powerful person

Emotional Boundaries

- **A counselor talks about her past sexual abuse in detail with the female clients that disclose to them a similar background**

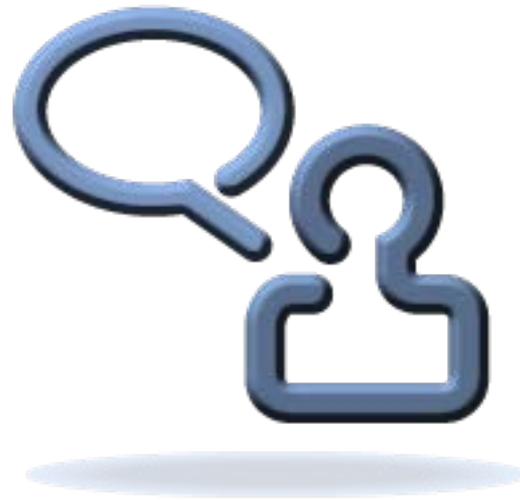
Emotional Boundaries

- A counselor talks about her past sexual abuse in detail with the female clients that disclose to her a similar background
- **An RSAT Officer offers to be an AA sponsor to one of his RSAT community members**

Emotional Boundaries

- A counselor talks about her past sexual abuse in detail with the female clients that disclose to her a similar background
- An RSAT Officer offers to be an AA sponsor to one of his RSAT community members
- **A facilitator shares the gruesome details of his divorce during a Healthy Relationships group with clients**

*“Are my actions more about **my** needs than about the needs of the client?”*



Psychological Boundaries

- **Shaming a Unit member in front of others - “You aren’t doing anything right in this Unit. Maybe you need some time in Segregation.”**

Psychological Boundaries

- Shaming a client in front of others - “You aren’t doing anything right in this Unit. Maybe you need some time in isolation.”
- **During an intake interview with a client, a staff member answers the phone several times.**

Psychological Boundaries

- Shaming a client in front of others - “You aren’t doing anything right in this Unit. Maybe you need some time in isolation.”
- During an intake interview with a client, a staff member answers the phone several times.
- **A white staff member shares his beliefs with an Officer about anti-immigration regulations regarding Muslims while a Muslim program participant is saying daily prayers a few feet away.**

Sexual Boundaries

- Any type of sexual behavior (touching or not) in the office
- Any type of inappropriately sexual innuendo or language with clients
- Any type of inappropriate clothing in the office



Sexual Boundaries

TABLE 1

Adult inmates reporting sexual victimization, by type of facility and incident, National Inmate Survey, 2011-12

Type of incident ^c	Number of victims ^a		Percent of inmates		Standard errors ^b	
	Prisons	Jails	Prisons	Jails	Prisons	Jails
Total	57,900	22,700	4.0%	3.2%	0.2%	0.2%
Inmate-on-inmate	29,300	11,900	2.0%	1.6%	0.1%	0.1%
Nonconsensual sexual acts	15,400	5,100	1.1	0.7	0.1	0.1
Abusive sexual contacts only	13,900	6,800	1.0	0.9	0.1	0.1
Staff sexual misconduct	34,100	13,200	2.4%	1.8%	0.2%	0.1%
Unwilling activity	21,500	10,000	1.5	1.4	0.1	0.1
Excluding touching	15,400	7,400	1.1	1.0	0.1	0.1
Touching only	5,600	2,500	0.4	0.3	0.1	--
Willing activity	19,700	6,200	1.4	0.9	0.1	0.1
Excluding touching	17,000	5,200	1.2	0.7	0.1	0.1
Touching only	2,700	900	0.2	0.1	--	--

Note: Detail may not sum to total because inmates may report more than one type of victimization. They may also report victimization by both other inmates and staff.

Allen J. Beck, Dr. Marcus Berzofsky, Rachel Caspar and Christopher Krebs, Ph.D.
 Bureau of Justice Statistics, "Sexual Victimization
 In Prisons and Jails Reported by Inmates 2009 -2011," May 2013

Sexual Boundaries

Staff sexual misconduct includes any sexual behavior or act directed toward an inmate by staff, including romantic relationships. Such acts include—

- intentional touching of the genitalia, anus, groin, breast, inner thigh, or buttocks with the intent to abuse, arouse, or gratify sexual desire; or
- completed, attempted, threatened, or requested sexual acts; or
- occurrences of indecent exposure, invasion of privacy, or staff voyeurism for sexual gratification.

Staff sexual harassment includes repeated statements or comments of a sexual nature to an inmate by staff. Such statements include—

- demeaning references to an inmate's sex or derogatory comments about his or her body or clothing; or
- repeated profane or obscene language or gestures.

Sexual Boundaries

- **A staff member winks at a client seductively during group**

Sexual Boundaries

- A staff member winks at a client seductively during group
- **A counselor asks about a client's past sexual history that have nothing to do with current treatment**

Sexual Boundaries

- A staff member winks at a client seductively during group
- A counselor asks about a client's past sexual history that have nothing to do with current treatment
- **An Officer wants to know about a client's sexual preferences; always tries to steer the conversation back to the topic of sex**

Prevention and Avoidance of Sexual Misconduct

Do not use:

- Gestures, tone of voice, expressions or any other behavior that a client could interpret as seductive, sexually demeaning or sexually abusive

Refrain from:

- Treating a client with whom you have had a previous intimate relationship

Do not make:

- Sexualized comments about a client's body or clothing; sexualized or sexually demeaning comments to a client

Do not:

- Criticize sexual orientation; ask details of sexual history – unless it's part of your job

Prevention and Avoidance of Sexual Misconduct

Do not:

- Engage in inappropriate “affectionate” behavior with a client; talk about your own sexual preference, fantasies, problems, etc.

Learn:

- To detect and deflect seductive clients

Do not:

- Request a date with a client; engage in any sexual conduct

Maintain:

- Good records that reflect any intimate questions of a sexual nature and document any and all comments or concerns made by a client relative to alleged sexual abuse, and any other unusual incident that may occur during the course of the work day

THE GRAY AREAS

The Warning Signs

The Gray Areas

Self Disclosure



- Using personal experience as guiding life lessons can add credibility and be helpful on a more personal level



- **Inappropriate disclosure may occur because of deep-seated emotional or dependency needs**

The Gray Areas

- Is it consistent with client's treatment needs and goals?
- Is it consistent with Treatment Unit's / Facility's Mission and theoretical orientation?
- Does it mainly reflect or express your own personal needs?
- What is your assessment of the possible risks, costs and downsides of self-disclosure?
- Does self-disclosure represent a significant departure from your usual practice?
- Would you hesitate to discuss this disclosure with your supervisor or document it in the client's record? If so, why?

The Gray Areas

Slippery Slope

- Over-familiarity
- Gift giving and receiving
- Keeping secrets
- Favors



The Gray Areas

Dual Relationships

- **When staff and client are engaged in relationships other than that of staff-client**
 - **Social, professional, sexual / emotional**
- **Staff should never engage in any role and/or relationship that may:**
 - **Impair their judgment and objectivity**
 - **Affect their ability to render effective services**
 - **Result in harm and/or exploitation to clients**

Corey, G., Schneider-Corey, M., & Callanan, P. (2011). *Issues and ethics in the helping profession* (8th ed.). Belmont, CA: Brooks & Cole, Cengage Learning.

Warning Signs that Boundaries may be at Risk

- I frequently think of this client when I am away from work
- I spend time with this client outside of work or my work area
- I share personal information with this client
- I have unnecessary or out of proportion concern for this client
- I am defensive of the client or my interaction with the client
- I advocate for this client more than other clients
- This client could / has hurt my feelings

Warning Signs that Boundaries may be at Risk

- I am flirtatious or have overt sexual content in my interactions with this client
- I feel I understand the client better than other staff
- I feel that I can help this client better than other staff
- I touch this client more or differently than other clients
- This client can only deal with me
- This client waits for me to be available rather than deal with other staff
- I am willing to accept secrets from this client

Personal Conduct

- Examine your own boundaries – how might they affect your work with clients?
- Monitor and maintain boundaries with co-workers ; overlapping roles with co-workers make boundary-setting even more difficult.

COMPETENT PROFESSIONALS

Making Decisions about Boundaries

Making Decisions About Boundaries

- Am I doing this for the best of the client or some other motivation? Whose needs are being served?
- Am I feeling angry? Frustrated? Resentful? Towards the client or for other reasons?
- Am I treating this client differently?
- How would my interactions be viewed by:
 - My supervisor
 - The client's family or partner
 - My family and my partner
 - My co-workers
 - A licensing agency



Making Decisions About Boundaries

- Pay attention to any uneasy feelings, doubts, or confusion you may be having during or right after a possible boundary crossing.
- Talk to another staff member, your supervisor, or your clinical supervisor about a possible boundary crossing.
- Document (as necessary), acknowledge, consider an apology.



Competent Professionals

Receive routine Clinical Supervision: be familiar with your code of ethics / agency rules and follow them

Respect Chain of Command: use Supervisors as a source of information; keep them informed of problems and/or questions

Document, Document, Document: if it's not in writing, it never happened

Crisis judgment: protect yourself, report, respond properly, document, follow procedure

Competent Professionals

- Accept the complexity of maintaining boundaries
- Admit when you have boundary dilemmas
- Wrestle with these dilemmas and discuss them with colleagues

“Who do we serve?”



“Do no harm.”



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Questions?

Type your question in the Q&A box
on your computer screen

Speaker Contact Info

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Certificate of Attendance

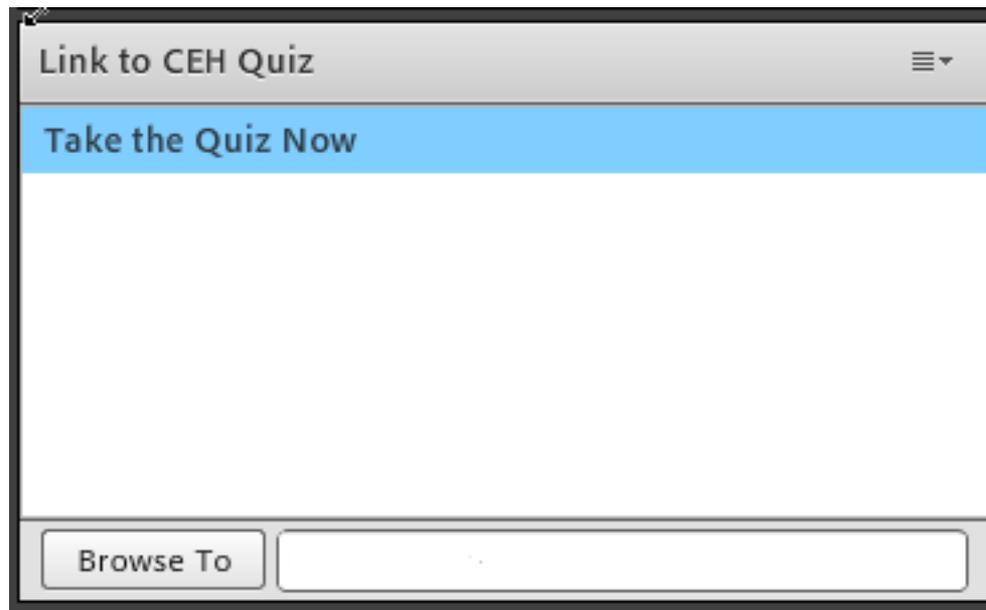
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The screenshot shows a file manager window titled "Certificate of Attendance". It contains a table with two columns: "Name" and "Size". The first row in the table is highlighted in blue and contains the text "Download My Certificate" and "1 MB". Below the table, there are two buttons: "Upload File..." and "Download File(s)".

Name	Size
Download My Certificate	1 MB

Questions?

- 1 NAADAC CEH
- Pass 10-question quiz with 7 correct answers
- Receive certificate immediately



RSAT Technical Assistance and Training Center

For more information on RSAT training and technical assistance visit:

<http://www.rsat-tta.com/Home>

*or email Stephen Keller, RSAT TA Coordinator at
skeller@ahpnet.com*